

# Biomass Fractionation Processes for the Biorefineries

**Florbela Carvalheiro**

SMIBIO Workshop

*Small-scale Biorefineries for Rural Development in Latin America and Europe*

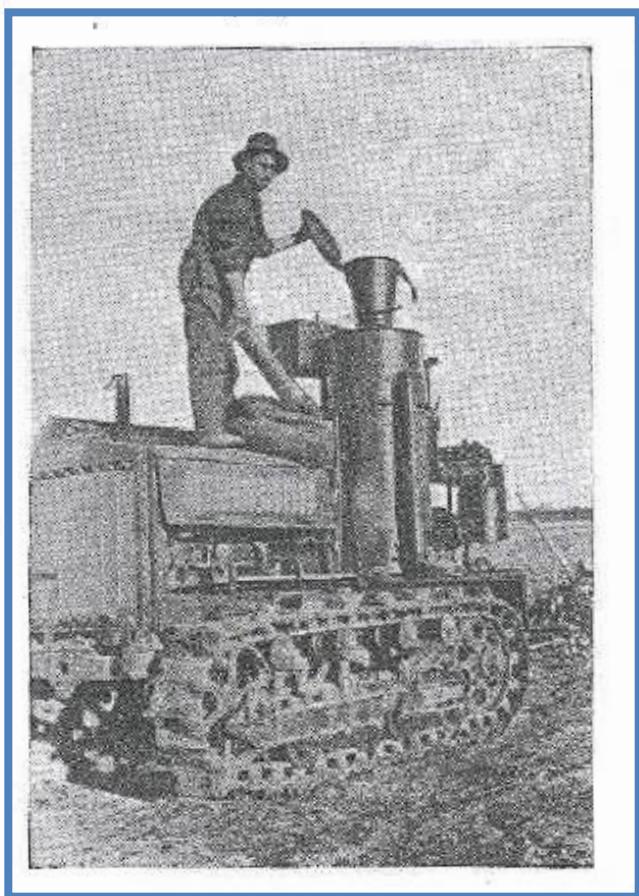
November 23<sup>rd</sup>, 2016  
Buenos Aires, Argentina



# BIOREFINERY BACKGROUND

## ➤ Wood gas as fuel

First farm tractor in Portugal working with charcoal (vineyard prunings), **Chamusca (1925)**

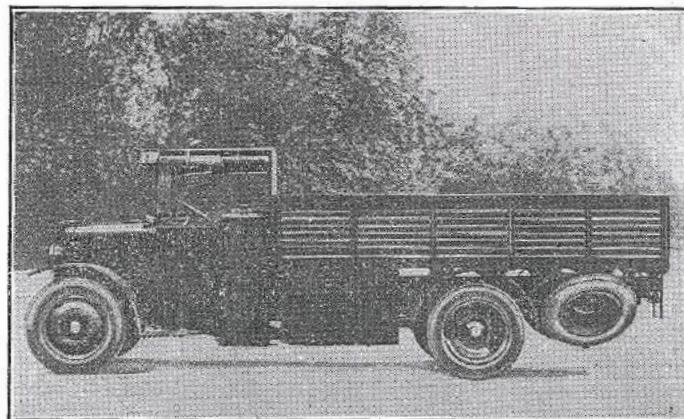
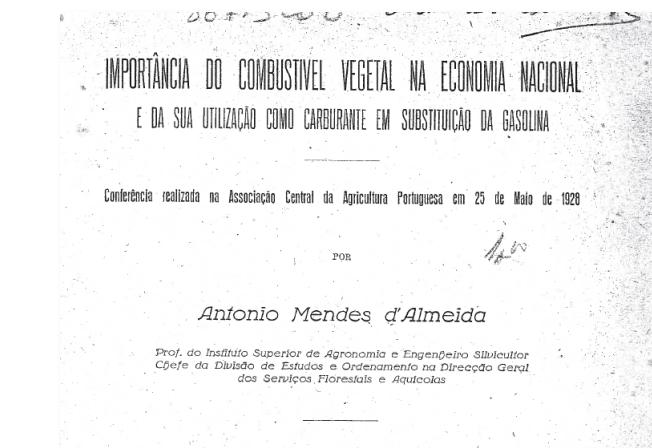


Prof Ruy Mayer

Photos: courtesy of H. Machado (ICNF)

### A. Mendes de Almeida (1928), Portugal

“Importance of vegetable fuel in the national economy and its use as fuel as gasoline substitute”



Camião a gasogenio «Panhard»

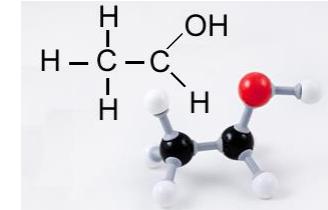
# BIOREFINERY BACKGROUND

## ➤ Ethanol

The first car moved by ethanol (Brazil, 1979)



Fiat 147



# BIOREFINERY

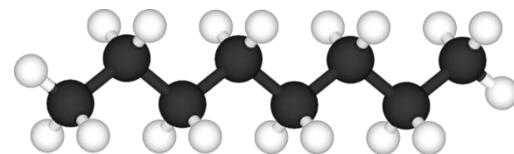
## ➤ Advanced biofuels



## Aviation fuels with particular specifications



Lignocellulosic biomass



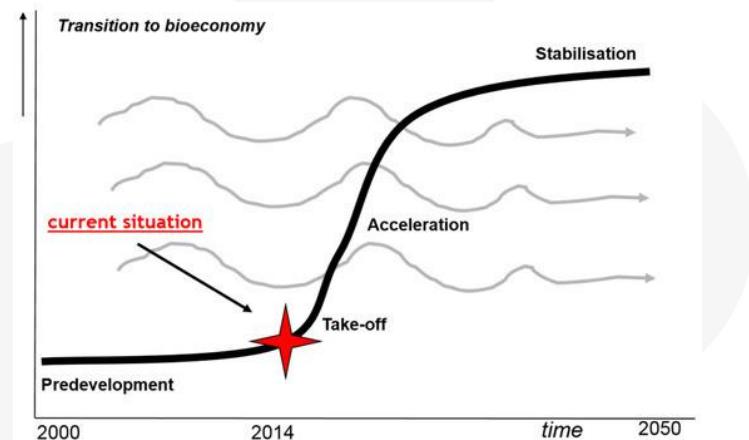
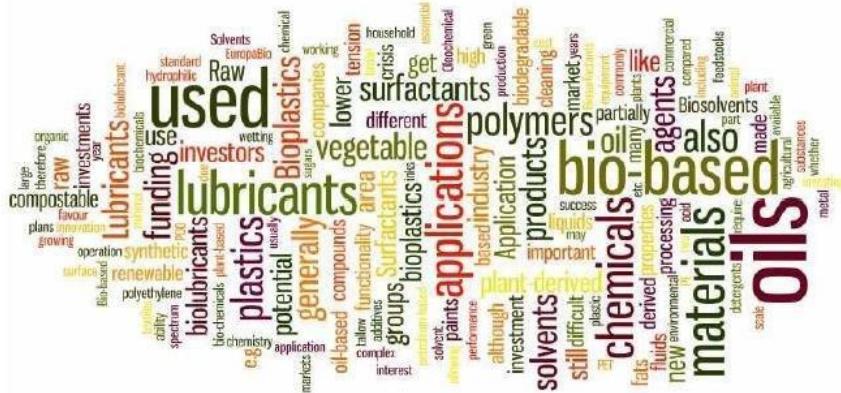
Algae



[www.A4F.pt](http://www.A4F.pt)

# BIOREFINERY

## ➤ Bioproducts



Prof. J. Routti, KE Finland WB workshop (2004)

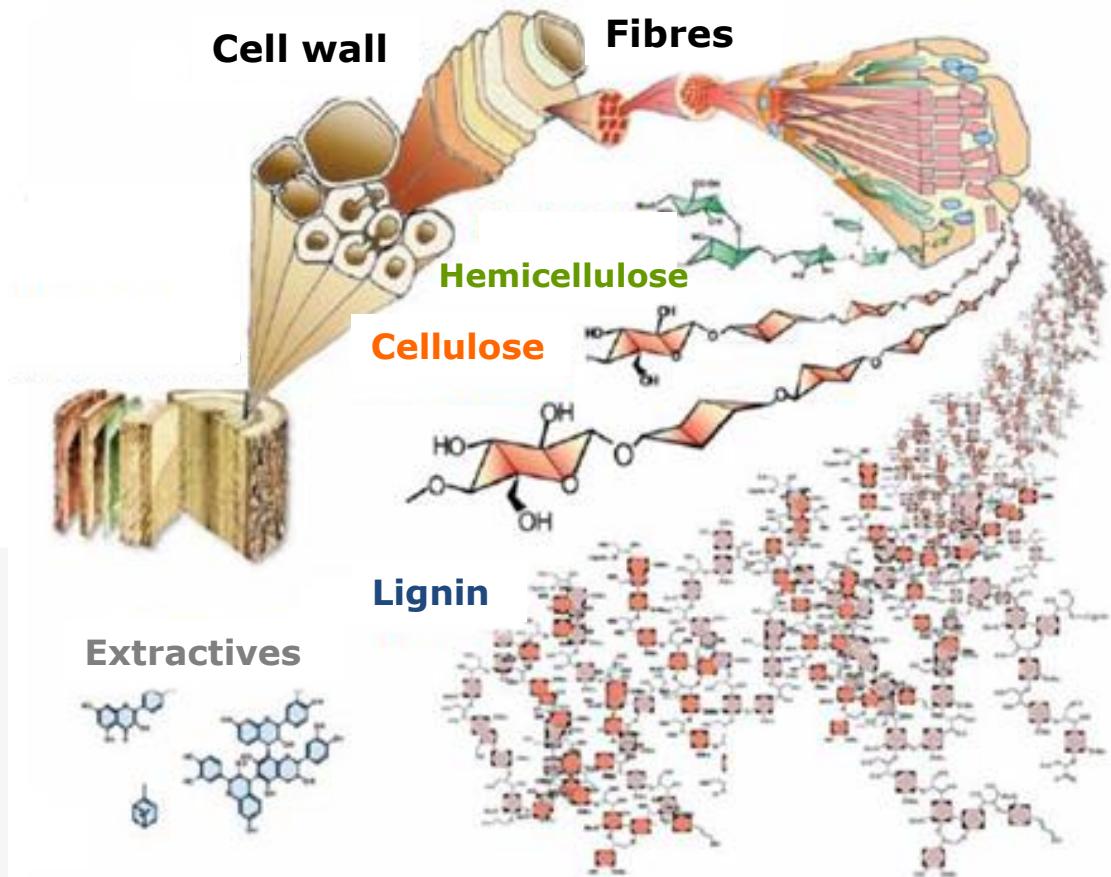
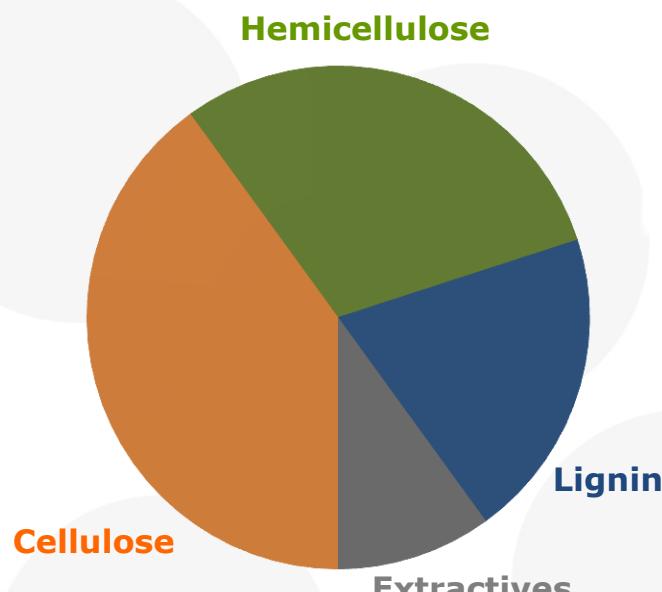
Many other products from biomass

Bio-based economy



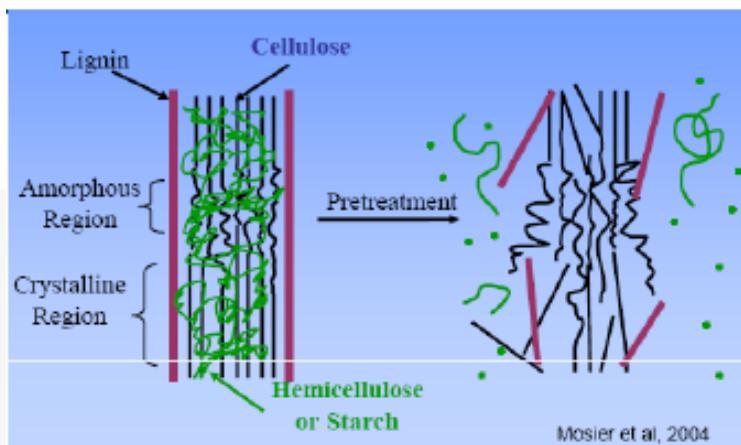
[www.green4sea.com](http://www.green4sea.com)

# BIOMASS COMPOSITION



Per Hoffmann, Oskar Faix and Ralph Lehnert

# BIOMASS PRETREATMENTS

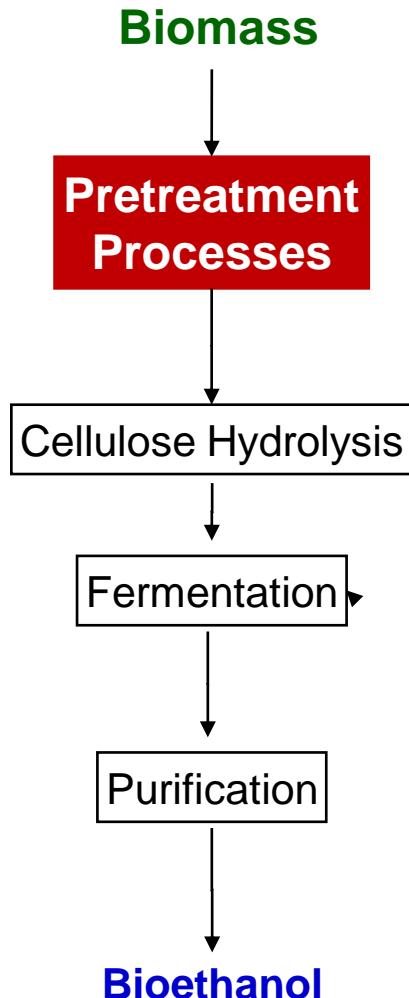


Mosier N, Wyman C, Dale B, Elander R, Lee YY, Holtapple M, Ladisch MR, 2004.

Biomass **pretreatments** have been developed as a treatment step prior to the enzymatic saccharification and fermentation in cellulosic **ethanol** production process

The **aim** is to adequately **access the sugars** contained in plant cell wall carbohydrates

- **Not selective**
- Mainly focussed on a **single product**



# BIOMASS PRETREATMENT/FRACTIONATION

## Objectives

- Selective fractionation
- Aiming to RECOVER all fractions
- To get value from ALL biomass components
- By their SELECTIVE conversion to products
- Improvement of environmental and economic performance
- Better meeting the requirements of downstream processes
- Improving the properties/value of the products obtained

## Limitations

- Selectivity
- High use of energy and/or chemicals
- It is (still) an expensive process

# PRETREATMENTS

## ➤ Main pretreatment options

| Physical   | Chemical  | Physico-chemical                            | Biological                           |
|--|---|---|--------------------------------------|
| Milling  | <b>Acid processes</b>   | <b>Autohydrolysis/<br/>Liquid hot water</b> | Brown-, white-<br>and soft-rot fungi |
| Grinding<br><b>Extrusion</b>                       | <b>Alkaline processes</b>   | <b>Steam explosion</b>                      |                                      |
| Ultrasound   | Wet Oxidation   | <b>Sub- and<br/>supercritical fluids</b>    |                                      |
| Irradiation<br>(microwaves, $\gamma$ -irradiation) | <b>Organosolv</b><br>Ozonolysis<br><b>Ionic liquids</b><br><b>Inorganic salts</b> |   |                                      |

Carvalheiro, F., Duarte, L.C., Bogel-Lukasik, R., Moniz, P. (2013) Boletim de Biotecnologia., Série 2, 3, 7-10

## ➤ Alternatives to the more establish processes are being proposed

# PHYSICAL PROCESSES: extrusion

- Heating, mixing and shearing
- Disruption of lignocellulose structure (screw speed, temperature), defibration, fibrillation and shortening of fibres

✗ High energy demanding

|   | Extrusion temp.<br>(°C) | Sugar yield (%) |   |
|---|-------------------------|-----------------|---|
|   |                         | Glucose         | Xylose                                  |
| Physical <sup>a</sup>                   | 40-180                  | 41-95           | 25-79                                   |
| Acid <sup>a</sup>                       | 60-230                  | 41-60           | 84                                      |
| Alkali <sup>b</sup>                     | 68                      | 90              | 71                                      |
| Alkali combined treatment <sup>a*</sup> | Room-140                | 88-92           | Xylan removal: 95<br>Lignin removal: 87 |



\*Alkali+Ionic liquids; Alkali+organic solvent; Alkali+steam explosion; Alkali+LHW

<sup>a</sup>Zheng, J & Rehmann, L. (2014) *Int. J. Mol. Sci.*, 15, 1867-1898

<sup>b</sup>Duque et al. (2013) *Proc Biochem.*, 48, 775-781

- ✓ Can produce high sugar yields
- ✓ Can be operated at mild temperatures

# CHEMICAL PROCESSES

## ➤ Acid Hydrolysis

|                                | Dilute acid   | Concentrated acid                                   |
|--------------------------------|---|---|
| Type of acids                  | $\text{H}_2\text{SO}_4$ , HCl, $\text{HNO}_3$ , TFA, $\text{H}_3\text{PO}_4$ ,<br>$\text{CH}_3\text{COOH}$ (other carboxylic acids) | $\text{H}_2\text{SO}_4$ , HCl, $\text{HNO}_3$ , TFA |
| Temperature                    | High  | Low/moderate  |
| Acid concentration             | Low   | High  |
| Hemicellulose hydrolysis       | <b>High</b>   | <b>High</b>   |
| Cellulose hydrolysis           | Low; (alternative 2 step hydrolysis)  | <b>High</b>   |
| Enzymatic digestibility        | <b>High</b>   |   |
| Alteration of lignin structure | Minor   |   |
| Inhibitors formation           | High  | Low*  |
| Equipment corrosion            | Low   | High  |
| Energy requirements            | High  | Low   |
| Acid recovery                  |   | Mandatory (economy)                                 |
| Waste generation               | High (neutralization)   |   |
| Proven at pilot scale          | <b>Yes</b>  | <b>Yes</b>  |

# CHEMICAL PROCESSES

## ► Dilute acid hydrolysis



Aerial view of POET-DSM's Project Liberty cellulosic ethanol plant in Emmetsburg, Iowa



Commercial plant

**Feedstock:** Corn stover

**Pre-treatment:** Two-stage dilute acid pre-treatment

C5+C6 fermentation

**Products:** Ethanol + Biogas + lignin (CHP)



# CHEMICAL PROCESSES

## ➤ Acid Hydrolysis

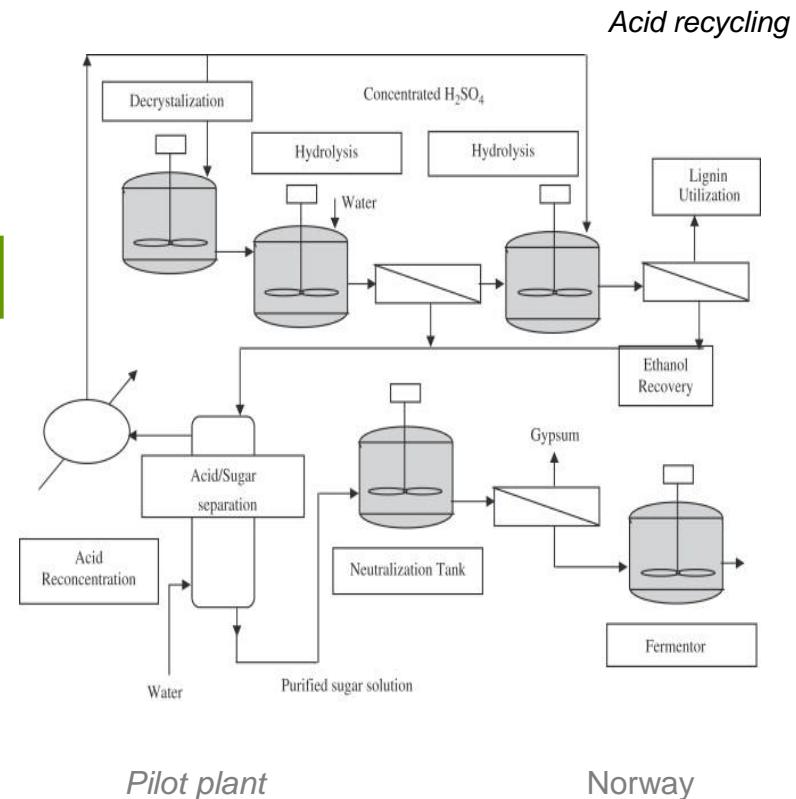


**Pretreatment:** concentrated acid process

**Feedstock:** Wood and agriculture wastes

Technology demonstrated for sugarcane bagasse, corn stover, corn cobs, rice straw, hardwoods, softwoods, wood wastes and paper wastes

**Products:** ethanol and lignin



# CHEMICAL PROCESSES

## ➤ Alkaline processes

- **Hydroxides:** NaOH, Ca(OH)<sub>2</sub>, KOH (lime)
- **Ammonia:** Soaking in Aqueous Ammonia (SAA), Ammonia Recycling Process (**ARP**) and Ammonia Fibre Explosion (**AFEX**)

|                         | Lime                              | ARP   | AFEX                                |
|-------------------------|-----------------------------------|---|-------------------------------------|
| Temperature             | Mild                              | High  | Moderate; High pressure             |
| Hemicellulose removal   | Minor                             | High  | Minor                               |
| Lignin removal          | High*                             | High**  | High                                |
| Enzymatic digestibility | High                              | High  | High<br>Cellulose decrystallization |
| Inhibitors formation    | Low                               | Low   | Low                                 |
| Energy requirements     | Low                               | High  | High                                |
| Capital costs           | Low                               | High  | High                                |
| Alkali recovery         | Easy                              | Mandatory (economy)                               | Mandatory (economy)                 |
| Waste generation        | Low                               | -   | -                                   |
| Other                   | Salts (incorporated into biomass) | Low selectivity (lignin/hemicellulose separation) | Not attractive for softwoods        |
| Proven at pilot scale   | Yes/No                            | No  | No/Yes                              |

\*Lignin removal can be improved by the addition oxidizing agents\* (O<sub>2</sub>/H<sub>2</sub>O<sub>2</sub>)

\*\* Difficult to separate from hemicellulose

# CHEMICAL PROCESSES

## ➤ Alkaline processes



Paris, France

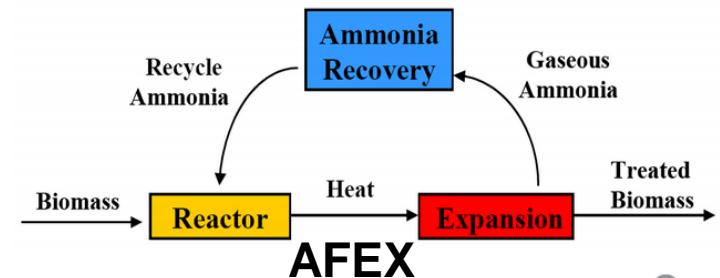
Pretreatment: AFEX

Established a partnership with **MBI** (multidisciplinary centre, Michigan, USA) and **MSU** (Michigan State University) to use **AFEX** technology for the production of 2G biofuels at **pilot scale**

**Feedstocks:** 'industrial biomass' (preliminary tests on corn stover)

**Product:** ethanol

*Deinococcus* bacterial strains (C<sub>6</sub>+C<sub>5</sub>, oligomers)



# CHEMICAL PROCESSES

## ➤ Alkaline processes



Commercial plant



Nevada - Iowa, USA

**Pre-treatment:** Dilute ammonia process

**Feedstock:** Corn stover

**Products:** Ethanol and CHP (from lignin)

Bacterial fermentation (recombinant *Z. mobilis*);  
no waste water (total water recycle)



# CHEMICAL PROCESSES

## ➤ Organosolv processes

- Water/**organic solvents** (acetone, ethanol, methanol, butanol, benzene)
- Organic solvent can be used in combination with a catalyst (e.g., **acids**)

Alcell, Acetocell, Formacell, Acetosolv, Formosolv, Milox

- Temperature: room - 200°C (150-200°C)
- Solubilisation of **lignin** and hydrolysis of hemicelluloses

✗ Overall economy depends on the solvent recycling

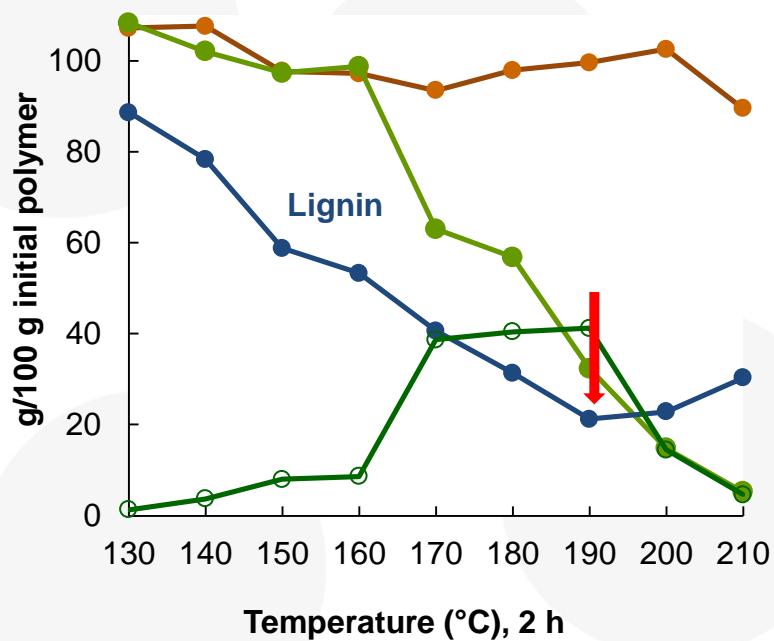
- ✓ Solvents like **ethanol** are easily recycled (distillation)
- ✓ Production of **high quality lignin** (value added applications)

# ORGANOSOLV

Ethanol/water

Corn cobs, rice straw

Delignification yield



Fialho et al. (2015), 3-CIAB, Chile

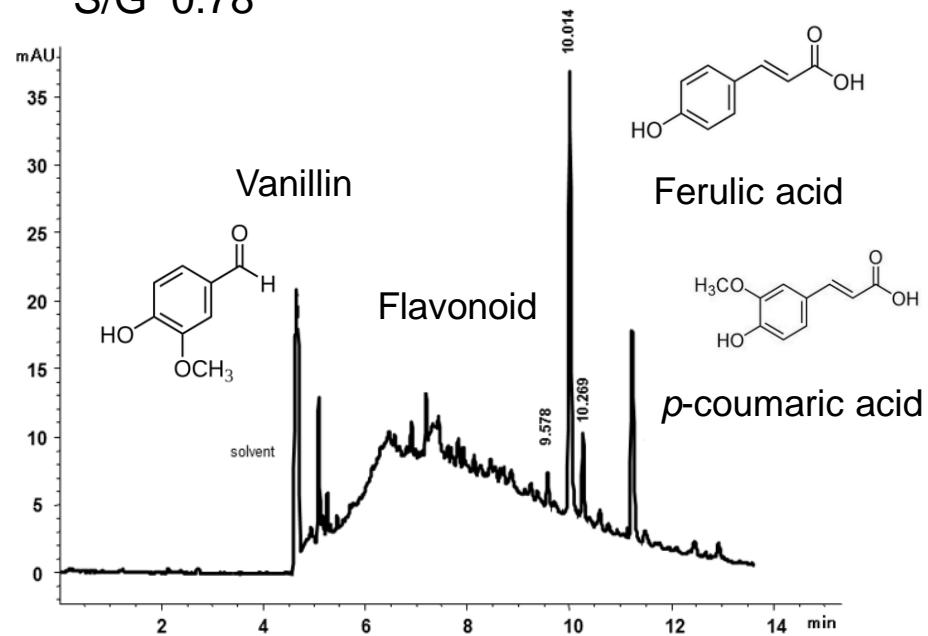
Low molecular weight lignins:

Mn < 606 g/mol

Mw < 2011 g/mol

PD < 3.3

S/G 0.78



Moniz et al. (2015) Bioresources, 10, 2626-2641

High delignification yield, high quality lignin-added value compounds

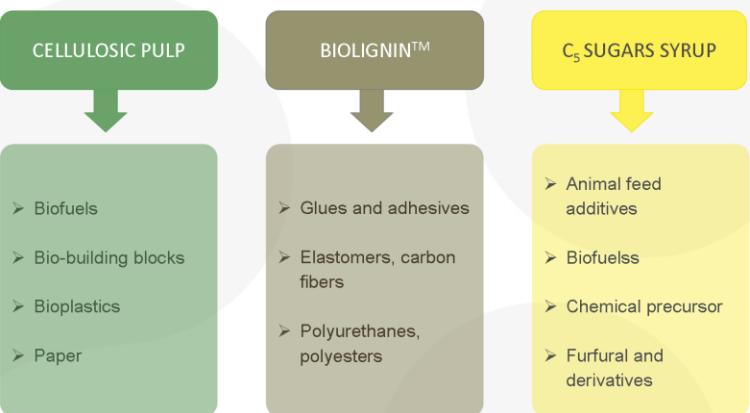
# CHEMICAL PROCESSES



Demo plant



Toulouse, France



Source: [www.CIMV.fr](http://www.CIMV.fr)

**Feedstocks:** straws, bagasse, hardwoods

**CIMV** technology is based on the utilization of **acetic acid/formic acid organosolv** processes

Delmas, M. (2008). *Chem. Eng. Technol.* 31, 792-797  
Snelders et al. (2014) *Biores. Technol.* 156, 275-282



# **NOVEL CHEMICAL PROCESSES**

## ➤ **Solid (Super)Acids**

*Solids which can donate protons or accept electrons during reactions*

“acids stronger than 100% sulphuric acid” (Brønsted superacids), “acids stronger than anhydrous aluminum trichloride” (Lewis superacids)

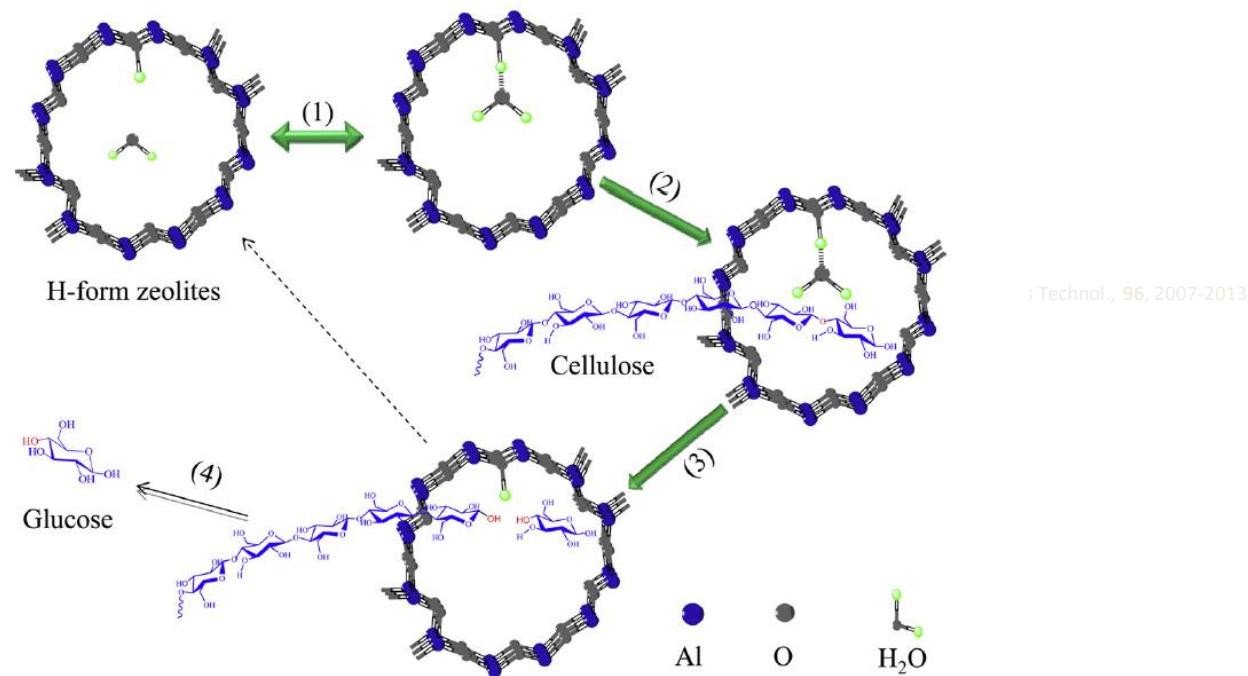
### **Main classes**

- **H-FORM ZEOLITES** (*microporous aluminosilicates minerals*)
  - H-mordenite, H-ZSM-5, ..., but also bentonite, kaolin
- **TRANSITION-METAL OXIDES** (*mesoporous Single or Mixed metal oxides*)
  - $\text{Nb}_2\text{O}_5$ , Zr-TMS,  $\text{TiO}_2$ ,  $\text{CeO}_2$ ,  $\text{HNbMoO}_6$ ,  $\text{Ta}_2\text{O}_5\text{-W}_0_3$ , Zn-Ca-Fe oxide, ...
- **CATION-EXCHANGE RESINS**
  - Amberlyst-15 (polystyrene-based cation-exchange resin with  $\text{SO}_3\text{H}$ ), Dowex 50wx8-100, NKC-9, Nafion® NR50 (perfluorosulfonated ionomer)

# NOVEL CHEMICAL PROCESSES

## ► Solid (Super)Acids

- Temperature: room and up ~180°C
- Hydrolysis of both **cellulose** and **hemicellulose** (mono and oligosaccharides)
- Lignin mainly remain insoluble (depends on the catalyst)
- Integration with Microwave / Ultra-sounds / Nanotechnology is possible/desirable



# **NOVEL CHEMICAL PROCESSES**

## ➤ **Solid (Super)Acids**

Compared to liquid catalysts:

- ✓ **Limited problems associated to equipment corrosion, safety and waste generation**
- ✓ **Easy separation/recovery without loss of activity**
- ✓ **Long catalyst life**
- ✓ **High Selectivity**
- ✗ Costs, reaction time
- ✗ Thermal stability
- ✗ **Solid-solid interaction required (mass transfer limitations, pore diameters, ...) may limit accessibility**

Kim and Lee (2005) Biores Technol., 96, 2007-2013

**Factors determining efficiency still *unknown*** as similar catalysts can yield quite different results, e.g. for different raw materials

# NOVEL CHEMICAL PROCESSES

## ➤ Inorganic salts

- $\text{FeCl}_3$ ,  $\text{FeSO}_4$ ,  $\text{Fe}(\text{NO}_3)_3$ ,  $\text{Al}_2(\text{SO}_4)_3$ ,  $\text{AlCl}_3$ ,  $\text{MgSO}_4$ ,  $\text{KCl}$ ,  $\text{CaCl}_2$
- Alternative to acid hydrolysis; **Brønsted acids**
- Catalysts:  $\text{H}^+$  from dissociation of salts; metal ions
- Hydrolysis of **hemicelluloses** (high) and solubilisation of **lignin**
- Increase of **enzymatic digestibility of cellulose**

|                                  | $\text{Fe}(\text{NO}_3)_3$ | $\text{Fe}(\text{NO}_3)_3$ | $\text{FeSO}_4$    | $\text{FeCl}_3$   | $\text{FeCl}_3$           |
|----------------------------------|----------------------------|----------------------------|--------------------|-------------------|---------------------------|
| <b>Raw material</b>              | Corn stover<br>sillage     | Corn stover<br>sillage     | Corn stover        | Wheat straw       | Wheat straw               |
| <b>Temperature (°C)</b>          | 150                        | 150                        | 180                | 140               | 120                       |
| <b>Concentration (mM)</b>        | 50                         | 50                         | 100                | 100               | 200                       |
| <b>Salt (mg/100 g feedstock)</b> | 45                         | 45                         | 90                 | 10                | 20                        |
| <b>pH</b>                        | n.r.                       | 3.6                        | 3.64               | n.r.              | 1.73                      |
| <b>Time (min)</b>                | 10                         | 12.7                       | 20                 | 20                | 120                       |
| <b>Xylose yield</b>              | <b>91.8</b>                | <b>93.4</b>                | <b>89.6</b>        | <b>89.0</b>       | <b>20.6</b>               |
| <b>Xylose yield (oligomers)</b>  | 8.9                        | 8.9                        | n.r.               | n.r.              | n.r.                      |
| <b>Furfural yield</b>            |                            |                            |                    |                   | <b>62</b>                 |
|                                  | Sun et. (2011)             | Sun et. (2011a)            | Zhao et al. (2010) | Liu et al. (2009) | Marcotullio et al. (2010) |

# **NOVEL CHEMICAL PROCESSES**

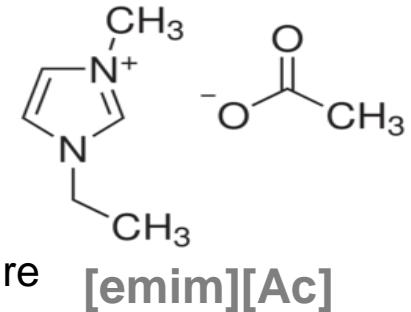
## ➤ **Inorganic salts**

- ✓ High reaction rate, less corrosive than acids
- ✓ Easy to recycle
- ✓ Neutralisation of the hydrolysates can even be avoided (mild pH)
- ✗ Salts can be incorporated into biomass (?)

# NOVEL CHEMICAL PROCESSES

## ➤ IONIC LIQUIDS (ILs)

- ILs organic salts (melting point < 100°C)
- High thermal stability, great solvent power, negligible vapour pressure
- Particularly useful in dissolution of cellulose
  
- **Imidazolium ILs** dissolve up to 25% of cellulose (**Rogers et al., 2002**), breaking the extensive hydrogen bonding network
- **Chlorine ILs**; (Cl<sup>-</sup> strong proton acceptor in the interaction between IL and hydroxyl groups of the carbohydrate). High melting point/viscosity
- Newly **designed ILs** (1-ethyl-3-methylimidazolium dimethylphosphate ([emim][(MeO)<sub>2</sub>PO<sub>2</sub>]), ILs containing dialkylimidazolium cation and dicyanamide anion)
- **Two-possible approaches:**
  - **hydrolysis**
    - **complete dissolution** of biomass followed by **selective precipitation** (to recover selected fractions)

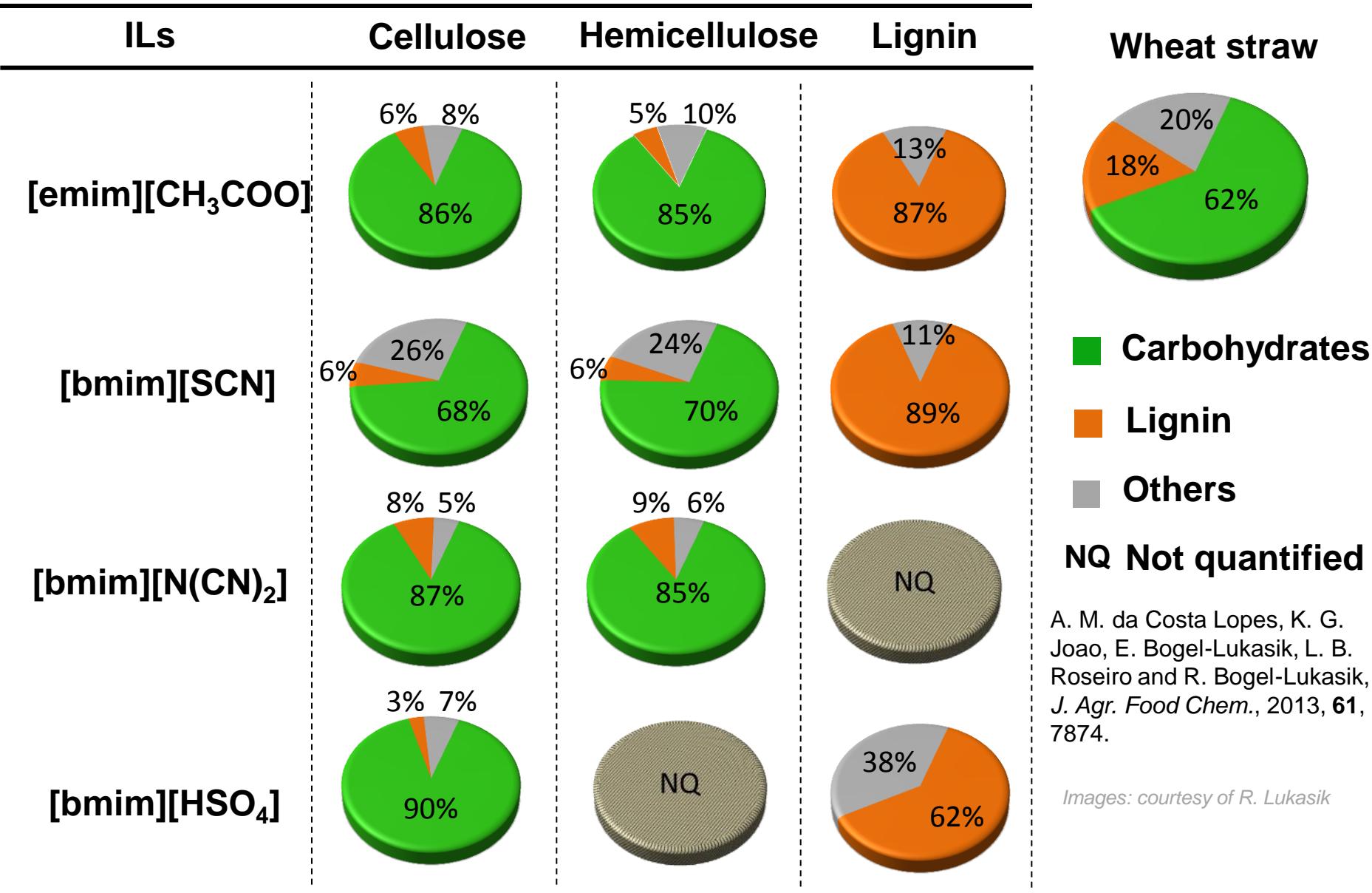


# **NOVEL CHEMICAL PROCESSES**

## ➤ **IONIC LIQUIDS (ILS)**

- ✗ Water content of biomass can decrease the solubility of dissolved carbohydrates
  - ✓ Addition of protonated solvents allows the regeneration of dissolved carbohydrates
  - ✓ Important progresses in the fractionation of hemicelluloses and lignin have been reported
- 
- ✗ Cost of ILs
  - ✓ ILs can be recovered with high yield

# RESULTS WITH ILs

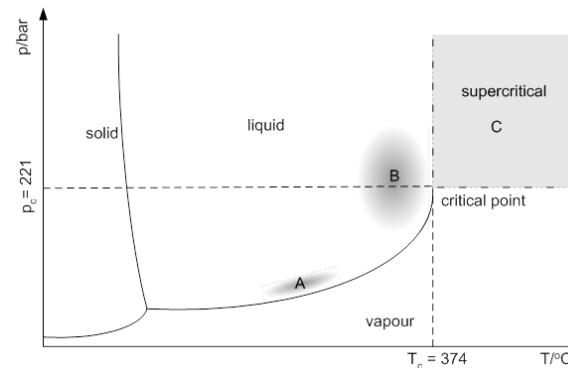


# PHYSICO-CHEMICAL PROCESSES

## ➤ Hydrothermal processes

- **Liquid hot water (LHW) (A)**
- **Steam (A)**
- **Steam explosion (A)**
- **Subcritical water (B)**
- **Supercritical water (C)**

Typical ranges for water based processes as a function of T, p



Gírio, F.M., Fonseca, C., Carvalheiro, F., Duarte, L.C., Marques, S., Bogel-Lukasic, R. (2010) *Biores. Technol.*, **101**, 4775-4800.

# PHYSICO-CHEMICAL

## ➤ Hydrothermal processes

|                           | LHW (Autohydrolysis)               | Steam explosion*                                |
|---------------------------|------------------------------------|---|
| Temperature               | High                               | High  |
| Solid concentration (LSR) | Low                                | Low-high  |
| Hemicellulose removal     | High                               | High  |
| Hemicellulose recovery    | High                               | Medium/low                                      |
| Lignin removal            | Minor                              | Minor**   |
| Cellulose removal         | Minor                              | Minor   |
| Enzymatic digestibility   | High                               | (Very) High                                     |
| Inhibitors formation      | Low                                | Low/medium                                      |
| Energy requirements       | Low                                | Low***  |
| Corrosion problems        | Minor                              | Minor   |
| Waste generation          | Low                                | Low   |
| Other                     | <b>Hemicelluloses as oligomers</b> | <b>Chemicals catalysts required (softwoods)</b> |
| Proven at pilot scale     | <b>Yes</b>                         | <b>Yes</b>                                      |

\*impregnation of material with acid catalyst ( $\text{H}_2\text{SO}_4$ ,  $\text{SO}_2$ ),  $\text{CO}_2$  ( $\text{CO}_2$  explosion), alkali (ammonia, AFEX) is also possible

\*\*alteration of lignin structure

\*\*\*in part due the energy savings for grinding, milling

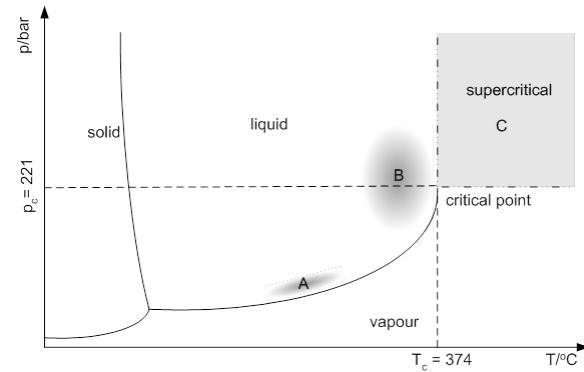
# NOVEL PHYSICO-CHEMICAL PROCESSES

## ➤ Supercritical fluids (SCF)

- SCF is a compound above its  $T_c$  and  $p_c$

Water ( $T_c=374.0^\circ\text{C}$ ,  $p_c=221.0$  bar)

$\text{CO}_2$  ( $T_c=31.0^\circ\text{C}$ ,  $p_c=73.8$  bar)



- SC water

**Hemicellulose** can be completely separated and **digestibility** of **cellulose** significantly increased ( $220^\circ\text{C}$ ,  $K_w=6.34 \times 10^{-12}$ , pH = 5.5)

- SC  $\text{CO}_2$

Significantly increase the **digestibility of cellulose** (any significant change in microscopic morphology of LMC). Yield can be enhanced by addition of organic acids (and with SC  $\text{CO}_2$  the addition of acids is lower)

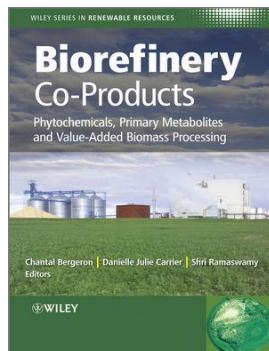
# **NOVEL CHEMICAL PROCESSES**

✗ Capital cost

✗ a wide range of improvements to be achieved before SF will be implemented in larger scale

✓ The use of sub or supercritical fluids is beneficial for hemicellulose recovery

✓ Can be particularly interesting for extraction of (very) high value products



# PHYSICO-CHEMICAL

## ➤ Hydrothermal processes



Demo plant



Kalundborg, Denmark

[www.inbicon.com](http://www.inbicon.com)

**Feedstocks:** wheat straw (mainly), sugarcane bagasse

**Pretreatment:** hydrothermal treatment using steam

**Products:**

Bioethanol (C6 fermentation), phase 1; C6+C5 fermentation (40-45% higher ethanol yield), phase 2

Lignin pellets

Biogas: from vinasse, C5 molasses,



# PHYSICO-CHEMICAL

## ➤ Hydrothermal processes



BETARENEWABLES

biochemtex

Commercial



**Feedstocks:** *Arundo donax*, wheat straw and rice straw

**Pretreatment:** Proesa™ technology, uncatalysed steam explosion

**Products:** Ethanol and lignin (for energy)

Biofuels: Ethanol, Bio-Jet, Butanol

Biochemicals: Fatty Alcohols 1,4 Butanediol, Farnasene, Acrylic Acid, Succinic Acid, Others

Lignin derivatives: Phenols, Xylene, Terephthalic Acid



# PHYSICO-CHEMICAL

## ➤ Hydrothermal processes

**CLARIANT**

Demo



Straubing, Germany

[www.sunliquid.com](http://www.sunliquid.com)

**Feedstocks:** cereal straw and agriculture waste

**Pretreatment:** ‘mechanical and thermal pre-treatment’; steam

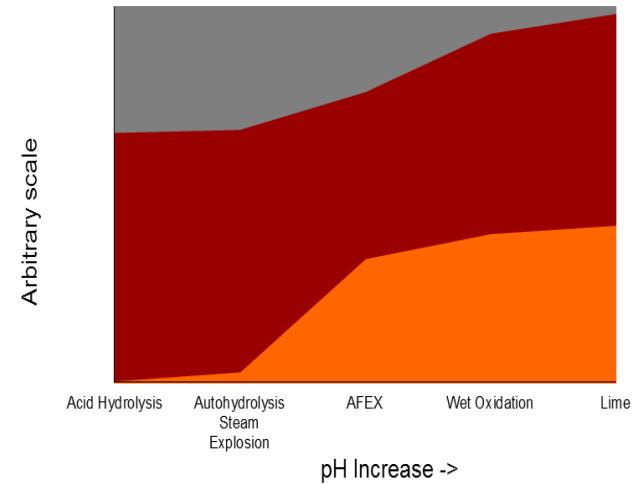
**Product:** Ethanol

Co-fermentation of C5 and C6; integrated enzyme production



# CONCLUSIONS

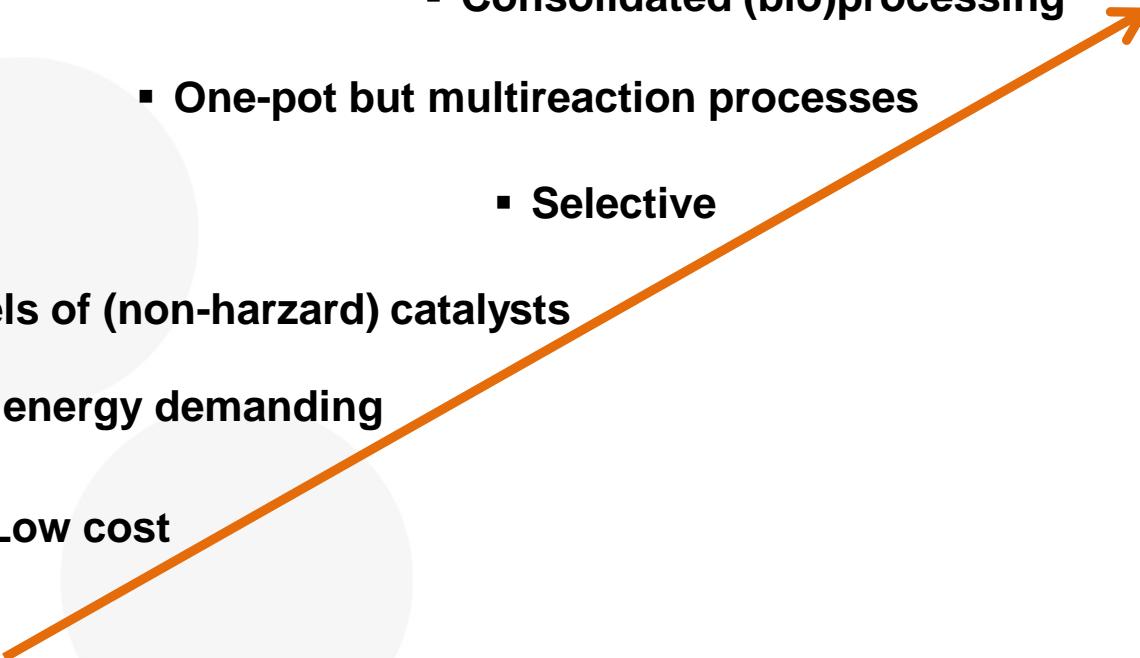
- There is no **single method** that can fulfill all the requirements for the effective **biomass fractionation**
- Use of **combined/sequential** processes targeting different fractions, i.e., the separate recovery of hemicellulose and lignin may be advantageous
- **Novel processes** for example the ones based on **ILs**, can also be effective, as they may be able to convey the two goals in a single process



*Carvalheiro, F., Duarte, L.C., Gírio, F. M. (2008). J. Scientific & Ind. Res., 67, 849-864.*

# CONCLUSIONS

What do we expect from a pretreatment?

- Consolidated (bio)processing
  - One-pot but multireaction processes
  - Selective
  - Low levels of (non-hazardous) catalysts
  - Low energy demanding
  - Low cost
- 

We are on the way ... but some progresses are still needed

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